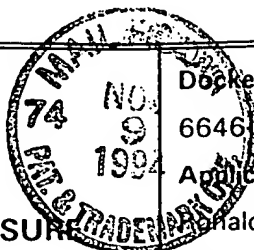


FORM NO. 1449

SET 1

AUG 23 2001

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646114N5

Applicant

Hald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

RECEIVED
AUG 28 2001
Technology Center 2608

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SW	3,644,675	02/22/1972	Waltington			
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SW	Boies, Stephen J., "A Computer Based Audio Communication System", Computer Sciences Department, Thomas J. Watson Research Center, Yorktown Heights, New York, USA, pp. 701-704 - (Article)

EXAMINER

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DATE CONSIDERED

9-2-95

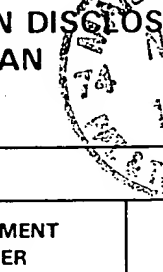
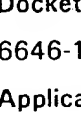
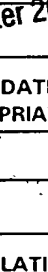
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FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608		
U.S. PATENT DOCUMENTS						
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<i>SW</i>			9-2-95		
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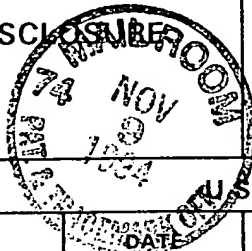
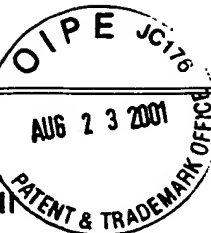
FORM PTO-15423 2001 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608 <div style="text-align: right; font-weight: bold; font-size: 1.2em;">RECEIVED</div> <div style="text-align: right; font-style: italic;">AUG 28 1994</div>		
<div style="display: flex; justify-content: space-between; align-items: center;"> U.S. PATENT DOCUMENTS Technology Center </div>						
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
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f				9-2-95		
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FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2600 <div style="text-align: center;"> RECEIVED AUG 28 2001 Technology Center 2600 </div>		
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EXAMINER INITIAL	DOCUMENT NUMBER		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
<i>SW</i>	- "Telephone Computing Entering Service Bureau Business", <u>American Banker</u> , July 5, 1979 - (Article) - Kutler, Jeffrey, "Technology, System Sharing Improve Phone Banking Outlook", <u>American Banker</u> , Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article) - Kutler, Jeffrey, "Phone Bill Paying Accessed by Pioneer", <u>American Banker</u> , Dec. 7, 1979, Vol. CXLIV, No. 237 - (Article)					
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FORM PTO-1449 SET I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608 <div style="text-align: right;"> RECEIVED AUG 28 2001 </div>				
U.S. PATENT DOCUMENTS Technology Center 2600							
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FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	"Automatic Call Distributor/Management Information System: Interface between 1/1AESS™ Switch Central Office and Customer Premises Equipment", <u>Bell Communications Research</u> , Dec. 1986, Technical Reference TR-TSY-000306, Issue 1 - (Article) "Comparison Of ACD Systems", <u>Connection</u> , Feb. 1990 - (Chart) "ACD Comparison", <u>Aspect</u> , Feb. 2, 1990 - (Final Report)						
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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

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08/306,456
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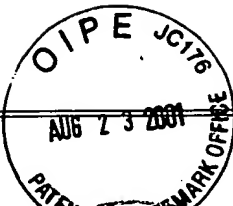
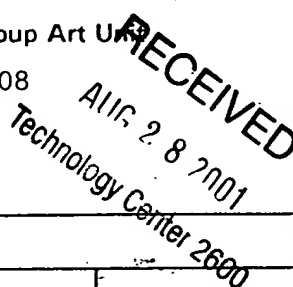
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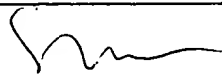
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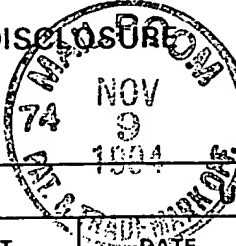
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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Pub. 62-239757	10/20/1987	Japan			No
WO88/02966	04/21/1988	PCT Publication			
WO88/05985	08/11/1988	PCT Publication			
WO89/02139	03/09/1989	PCT Publication			

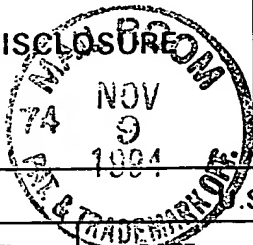
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SW</i>	4,577,062	03/18/1986	Hilleary et al.			
	4,577,067	03/18/1986	Levy et al.			
	4,578,700	03/25/1986	Roberts et al.			
	4,580,012	04/01/1986	Matthews et al.			
	4,584,602	04/22/1986	Nakagawa			
	4,587,379	05/06/1986	Masuda			
	4,611,094	09/09/1986	Asmuth et al.			
	4,625,276	11/25/1986	Benton et al.			
	4,630,200	12/16/1986	Ohmae et al.			
	4,630,201	12/16/1986	White			
	4,634,809	01/06/1987	Paulsson et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
<i>SW</i> WO89/09530	10/05/1989	PCT Publication			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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FORM PTO-1449

SUPPLEMENTAL - II

INFORMATION DISCLOSURE
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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

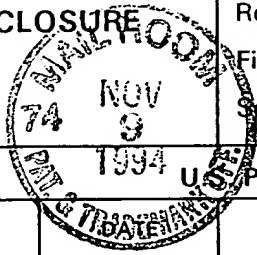
September 14, 1994

Application No.

08/306,456

Group Art Unit

2608



PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Sw</i>	4,658,417	04/14/1987	Hashimoto et al.			
	4,674,044	06/16/1987	Kalmus et al.			
	4,697,282	09/29/1987	Winter et al.			
	4,706,275	11/10/1987	Kamil			
	4,745,468	05/17/1988	Von Kohorn			
	4,756,020	07/05/1988	Fodale			
	4,761,684	08/02/1988	Clark et al.			
	4,788,682	11/29/1988	Vij et al.			
	4,788,715	11/29/1988	Lee			
	4,797,913	01/10/1989	Kaplan et al.			
	4,805,209	02/14/1989	Baker, Jr., et al.			
<i>Sw</i>	4,812,843	03/14/1989	Champion III, et al.			

FOREIGN PATENT DOCUMENTS

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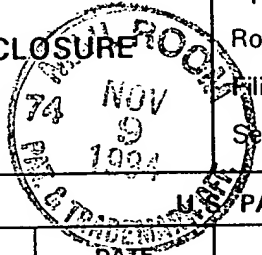
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Application No.

08/306,456

Group Art Unit

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PATENT DOCUMENTS

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SW	4,815,121	03/21/1989	Yoshida			
	4,827,500	05/02/1989	Binkerd et al.			
	4,893,328	01/09/1990	Peacock			
	4,896,345	01/23/1990	Thorne			
	4,907,079	03/06/1990	Turner et al.			
	4,937,853	06/26/1990	Brule et al.			
	4,972,461	11/20/1990	Brown et al.			
	5,001,710	03/19/1991	Gawrys et al.			
SW	5,003,574	03/26/1991	Denq et al.			

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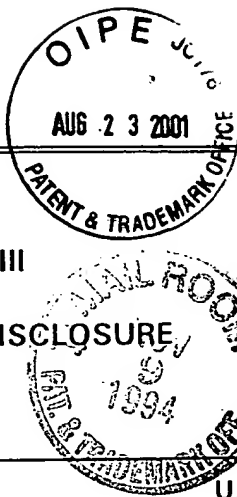
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FORM PTO-1449

SUPPLEMENTAL III

INFORMATION DISCLOSURE
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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

U.S. PATENT DOCUMENTS

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SW	3,594,004	07/20/1971	Barr			
	3,998,465	12/21/1976	Mascola			
	4,121,052	10/17/1978	Richard			
	4,586,707	05/06/1986	McNeight et al.			
SW	4,781,377	11/01/1988	McVean et al.			

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SW	0 120 322	02/27/1984	EPC			No

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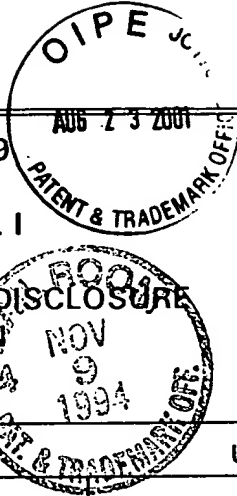
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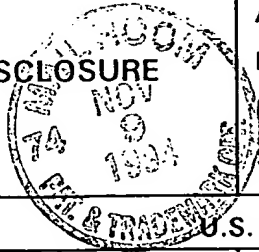
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FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608			
U.S. PATENT DOCUMENTS						
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aw	2,941,161	06/14/1960	Scantlin			
	3,060,275	10/23/1962	Meacham et al.			
	3,076,059	01/29/1963	Meacham et al.			
	3,082,402	03/19/1963	Scantlin			
	3,128,349	04/07/1964	Boesch et al.			
	3,159,818	12/01/1964	Scantlin			
	3,249,919	05/03/1966	Scantlin			
	3,299,210	01/17/1967	Bandy			
	3,337,847	08/22/1967	Olsson et al.			
sw	3,347,988	10/17/1967	Marill			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
sw	Lanzeter, Ygal, "Automatic Number Identification System For Step-By-Step Exchanges", <u>The Ninth Convention of Electrical and Electronics Engineers In Israel</u> , April 1975 - (Paper)					
	-Flanagan, J.L., et al., "Speech Synthesis", Chapters 1, 39, 42, 45 and 46 - (Chapter from a Book)					
	-"Bell Atlantic's Bolger Wants To Be Free", <u>Telephony</u> , July 14, 1986 - (Article)					
	-"Advanced New Cable TV Technology Developed For Impulse-Pay-Per-View", June 3, 1985 - (Search)					
	-Noll, M.A., "Introduction to Telephones & Telephone Systems", Second Edition, Chapter 9 - (Chapter from a Book)					
sw	"Proposal for Kome Mediavoice Interactive Phone/Database Marketing System", -"Mediavoice Startup Software Package For Kome" -"Optional Mediavoice Software Packages For Kome" -"Why ATI Mediavoice Is The Choice For Success" - (Proposal)					
EXAMINER sw				DATE CONSIDERED 9-2-95		
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FORM PTO-1449		Docket No. (optional) 6646-114N5		Application No. 08/306,456		
SUPPLEMENTAL I		Applicant Ronald A. Katz		Group Art Unit 2608		
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Filing Date September 14, 1994				
U.S. PATENT DOCUMENTS						
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Sm	3,371,162	02/27/1968	Scantlin			
	3,381,276	04/30/1968	James			
	3,482,057	12/02/1968	Abbott et al.			
	3,515,814	06/02/1970	Morgan			
	3,557,311	01/19/1971	Goldstein			
	3,568,157	03/02/1971	Downing			
	3,569,939	03/09/1971	Doblmaier et al.			
	3,571,799	03/23/1971	Coker, Jr., et al.			
	3,573,747	04/06/1971	Adams et al.			
Sm	3,581,072	05/25/1971	Nymeyer			
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Sm	Meade, Jim, Dec., 29, 1992 - (Letter)					
	"All About Voice Response", <u>Datapro Research Corporation</u> , Delran, N.J., March 1972 and Sept. 1974 - (Article)					
	"Voice Response in Banking Applications", <u>Datapro Research Corporation</u> , Delran, N.J., Oct. 1974 and Feb. 1983 - (Article)					
	Schiller, T.R., "Field Craft Technician Communication With A Host Computer Synthesized Voice", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Sept. 16-18, 1986					
	Rabin, Richard, "Telephone Access Applications: The Growth Market For Voice Processing", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Oct. 6-8, 1987					
	Schuster, E.R., "B.R.U.T.U.S. Better Registration Using Touch-Tone phones for University Students", <u>Proceedings AVIOS '86 Voice I/O Systems Applications Conference</u> , Oct. 4-6, 1988					
	"Exxon's Next Prey. IBM and XEROX", <u>BusinessWeek</u> , April 28, 1980, pp. 92-96 and 103 - (Article)					
Sm	Weinstein, S.B., "Emerging Telecommunications Needs of the Card Industry", <u>IEEE Communications Magazine</u> , July 1984, Vol. 22, No. 7, pp 26-31 - (Article)					
EXAMINER Sm				DATE CONSIDERED 9-2-95		
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FORM PTO-1449

SUPPLEMENTAL I

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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

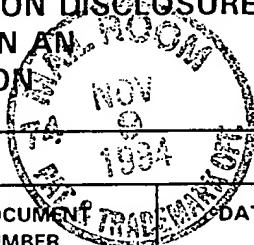
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SM	3,618,038	11/02/1971	Stein			
	3,624,292	11/30/1971	Guzak, Jr.			
	3,647,973	03/07/1972	James et al.			
	3,651,480	03/21/1972	Downing et al.			
	3,656,113	04/11/1972	Lince			
	3,665,107	03/23/1972	Kopec et al.			
	3,675,513	07/11/1972	Flanagan et al.			
	3,697,702	10/10/1972	Buonsante et al.			
	3,781,810	12/25/1973	Downing			
SM	3,792,446	02/12/1974	McFiggins et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

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SM	"Riding Gain", <u>Broadcasting</u> , March 7, 1983 - (Article)
	Pickup, Mike, "Bank from home, by screen or by phone", <u>Building Society Gazette</u> , July 1988 - (Article)
	Pickup, Mike, "Voice Response", <u>Computer Systems</u> , Sept. 1986 - (Article)
	Rabiner, L.R., et al., "Isolated and Connected Word Recognition - Theory and Selected Applications", <u>IEEE Transaction Communications</u> , May 1981, Com. 29, No. 5, pp. 621, 622, 633, 644-646, 655-659 - (Article)
	Takahashi, K., et al., "The Audio Response System for Telephone Reservation", <u>U.D.C.</u> Oka, Y., et al., "Development of Ventilating Equipment for Shinkansen Train", <u>U.D.C.</u> - (Articles in Japanese)
	Pagones, M.J., et al., "New services follow increased digitization on the long-haul transmission network", <u>AT&T Bell Laboratories Record</u> , 1983, Vol. 61, pp. 25-33 - (Article)
SM	"New phone service tells customer who's calling", <u>Bell Laboratories Record</u> , 1984, Vol. 62, p. 9 - (Article)

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FORM PTO-1449

SUPPLEMENTAL I

INFORMATION DISCLOSURE
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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

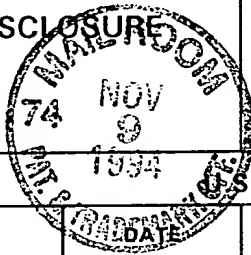
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
fm	3,858,032	12/31/1974	Scantlin			
	3,870,821	03/11/1975	Steury			
	3,914,747	10/21/1975	Barnes et al.			
	3,920,908	11/18/1975	Kraus			
	3,928,724	12/23/1975	Byram et al.			
	3,974,338	08/10/1976	Luzier et al.			
	3,982,103	09/21/1976	Goldman			
	3,989,899	11/02/1976	Norwich			
	3,991,406	11/09/1976	Downing et al.			
fm	4,009,342	02/22/1977	Fahrenschon et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

fm	Hirschman, C.B., et al., "LASS: Putting the telephone customer in charge", <u>Bell Laboratories Record</u> , 1985, Vol. 63, pp. 10-16 - (Article)
	"AT&T building communications network for Defense Department" and "AT&T inaugurates pay-per-view TV", <u>Bell Laboratories Record</u> , 1986, Vol. 64, p. 2 - (Article)
	"Power To...", <u>Dialogic Corporation</u> , Littleton Road, - (unidentifiable Article)
	"Representative Customer List For Interface Technology's Total Entry System", "Toes Solutions - Pharmaceutical Manufacturer", "The Voice Response Solution For Answering Customer/Sales Calls", "Toes Solutions - Orthopedic Equipment" and "Toes Solutions - Convenience Store" - (Articles)
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fm	Flanagan, J.L., et al., "Synthetic voices for computers", <u>IEEE Spectrum</u> , Oct. 1970, Vol. 7, No. 10, pp. 22-45 - (Article)

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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

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08/306,456

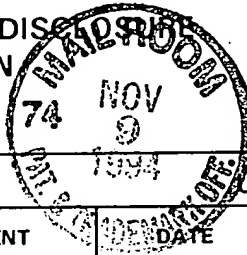
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fu	4,012,599	03/16/1977	Meyer			
	4,024,345	05/17/1977	Kochem			
	4,088,838	05/09/1978	Nakata et al.			
	4,108,361	08/22/1978	Krause			
	4,150,255	04/17/1979	Theis et al.			
	4,152,547	05/01/1979	Theis			
	4,160,125	07/03/1979	Bower et al.			
	4,187,498	02/05/1980	Creekmore			
	4,200,770	04/29/1980	Hellman et al.			
fu	4,201,887	05/06/1980	Burns			

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fu	-Rabiner, L.R., et al., "Computer Synthesis of Speech by Concatenation of Formant-Coded Words", <u>The Bell System Technical Journal</u> , May/June 1971, pp. 1541-1558 - (Chapter from a Book)
	-Flanagan, J.L., et al., "Wiring Telephone Apparatus from Computer-Generated Speech", <u>The Bell System Technical Journal</u> , Feb. 1972, pp. 391-397 - (Chapter from a Book)
	-Hornsby, Jr., Thomas G., "Voice Response Systems", <u>Modern Data</u> , Nov. 1972, pp. 46-50 - (Article)
	-Diffie, W., et al., "New Directions in Cryptography", <u>IEEE Transactions On Information Theory</u> , Nov. 1976, Vol. IT-22, No. 6, pp. 644-654 - (Article)
	-Rosenthal, L.H., et al., "Automatic voice response: interfacing man with machine", <u>IEEE Spectrum</u> , July 1974, Vol. 11, No. 7 - (Article)
fu	-Rosenthal, L.H., et al., "A Multiline Computer Voice Response System Utilizing ADPCM Coded Speech", <u>IEEE Transactions on Acoustics, Speech, and Signal Processing</u> , Oct. 1974, Vol. ASSP-22, No. 5, pp. 339-352 - (Article)

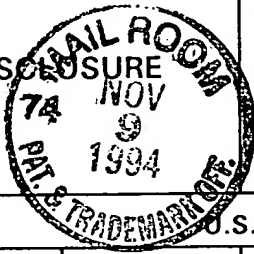
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FORM PTO-1449

SUPPLEMENTAL I

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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

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fm	4,223,183	09/16/1980	Peters, Jr.			
	4,232,199	11/04/1980	Boatwright			
	4,260,854	04/07/1981	Kolodny et al.			
	4,270,024	05/26/1981	Theis et al.			
	4,303,804	12/01/1981	Johnson et al.			
	4,307,266	12/22/1981	Messina			
	4,317,961	03/02/1982	Johnson			
	4,320,256	03/16/1982	Freeman			
	4,323,770	04/06/1982	Dieulot et al.			
fm	4,328,396	05/04/1982	Theis			

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	-Maisel, Ivan, "To Put Your Baseball Savvy On The Line, Pick Up The Phone And Call", <u>Sports Illustrated</u> , Sept. 3, 1984 - (Script)
	-Brown, Merrill, "Hollywood Saga: Who Bought J.R.?", <u>The Washington Post</u> , Final Edition, Oct. 14, 1984 - (Script)
	-"SPECIAL-OLYMPICS; Teams with baseball trivia expert Brad Curtis", <u>Business Wire</u> , Sept. 30, 1985 - (Script)
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fm	-Cummings, J.L., et al., "AT&T Network Architecture Evolution", <u>AT&T Technical Journal</u> , May/June 1987, Vol. 66, Issue 3, pp. 2-12 - (Article)

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FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608
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U.S. PATENT DOCUMENTS

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<i>SW</i>	4,338,494	07/06/1982	Theis			
	4,339,798	07/13/1982	Hedges et al.			
	4,355,372	10/19/1982	Johnson et al.			
	4,371,752	02/01/1983	Matthews et al.			
	4,389,546	06/21/1983	Glisson et al.			
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	4,405,829	09/20/1983	Rivest et al.			
	4,420,656	12/13/1983	Freeman			
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<i>SW</i>	4,439,635	03/27/1984	Theis et al.			

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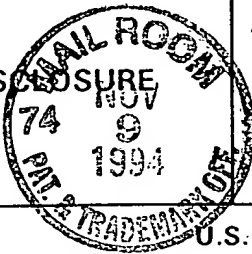
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FORM PTO-1449

SUPPLEMENTAL I

INFORMATION DISCLOSURE
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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

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<i>Sm</i>	4,451,700	05/29/1984	Kempner et al.			
	4,475,189	10/02/1984	Herr et al.			
	4,494,197	01/15/1985	Troy et al.			
	4,511,764	04/16/1985	Nakayama et al.			
	4,517,410	05/14/1985	Williams et al.			
	4,518,827	05/21/1985	Sagara			
	4,539,435	09/03/1985	Eckmann			
	4,539,436	09/03/1985	Theis			
	4,544,804	10/01/1985	Herr et al.			
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<i>Sm</i>	<p>"The Voicestar Series By Periphonics", <u>Periphonics</u>, Jan. 1986 - (Publication)</p> <p>"Bank-From-Home system by Periphonics Corporation"</p> <p>"Bill Payment Success Story", <u>Periphonics Corporation</u></p> <p>"A History of Imagination", <u>Periphonics</u></p> <p>"Banking Success Story", <u>Periphonics Corporation</u></p> <p>"DataVoice and the PDT II", <u>Periphonics Corporation</u></p> <p>"Banking Success Story", <u>Periphonics Corporation</u> - (Brochures)</p>
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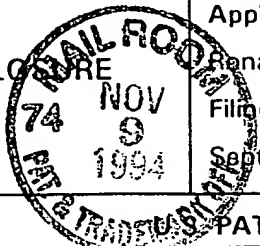
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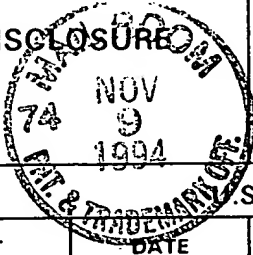


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SUPPLEMENTAL I		Applicant Ronald A. Katz		Group Art Unit 2608		
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S	Page from <u>What's new in Computing</u> , April 1985 - (Article)					
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<i>g</i>	4,649,563	03/10/1987	Riskin			
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	4,669,730	06/02/1987	Small			
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	4,688,170	08/18/1987	Waite et al.			
	4,692,817	09/08/1987	Theis			
	4,696,028	09/21/1987	Morganstein et al.			
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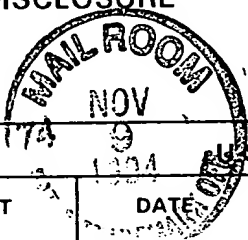
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
FORM PTO-1449 SUPPLEMENTAL I INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Docket No. (optional) 6646-114N5 Applicant Ronald A. Katz Filing Date September 14, 1994		Application No. 08/306,456 Group Art Unit 2608	
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R	4,715,061	12/22/1987	Norwich			
	4,719,647	01/12/1988	Theis et al.			
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S	Gaines, B.R., et al., "Some Experience in Interactive System Development and Application", <u>Proceedings of the IEEE</u> , June 1975, Vol. 63, No. 6, pp. 894-911 - (Article)					
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	Applicant Ronald A. Katz	Group Art Unit 2608
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	4,799,156	01/17/1989	Shavit et al.			
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	"Call-It-Co. Hangs Up On Dial-It In Four Markets", <u>The 976 Exchange</u> , 1984, Vol. 2, pp. 1-6 (Article)
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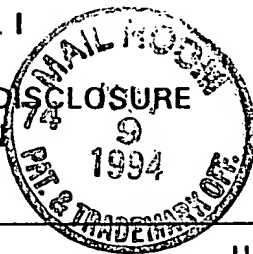
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	4,961,217	10/02/1990	Akiyama			
	4,969,183	11/06/1990	Reese			
	4,974,252	11/27/1990	Osborne			
	4,975,945	12/04/1990	Carbullido			
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fu	Rickman, J., et al., "Speech Synthesizers - Communications Interface - Implementing A Touch Tone Telephone Talker With DECTalk", <u>The DEC Professional</u> , May 1985, pp. 38, 39, 42-44 (Article)
fu	"DECTALK DELIVERS", <u>Digital Review</u> , Sept. 1985 - (Article) "DECTalk turns a telephone into a terminal", - "UNIX and Digital", - "Legal protection for semiconductor chips", - "Product safety", - <u>DECWORLD</u> , April 1985, Vol. 9, No. 2, pp. 1, 3, 5, 6-8 - (Article)
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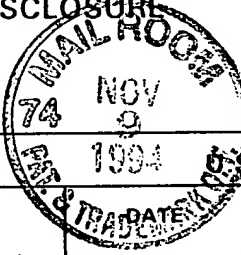
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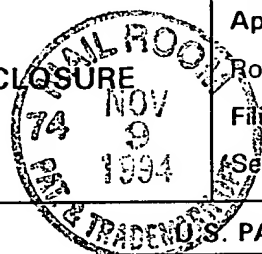
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SUPPLEMENTAL I

INFORMATION DISCLOSURE
CITATION IN AN
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Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group A Unit

2608

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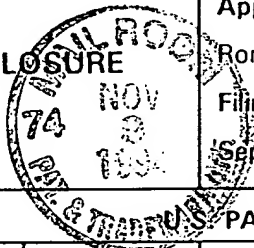
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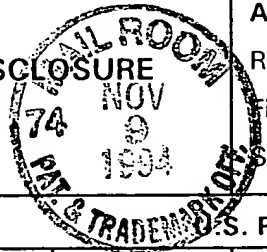
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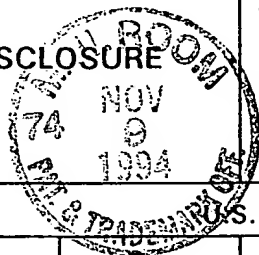
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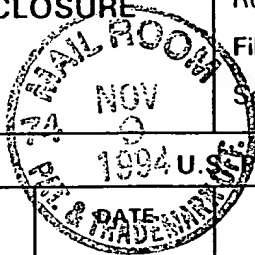
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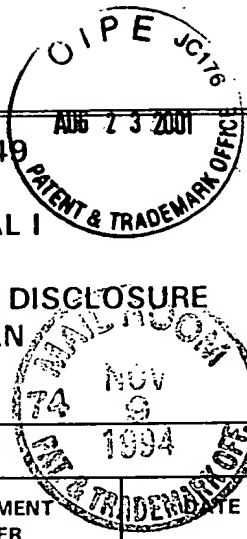
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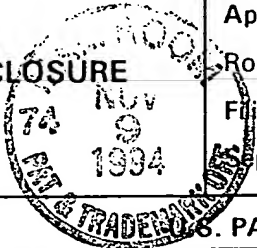
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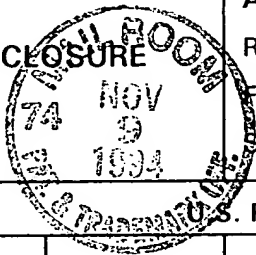
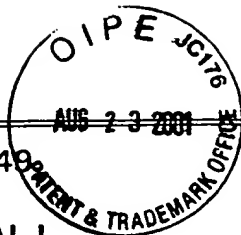
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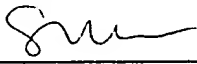
FOREIGN PATENT DOCUMENTS

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	"Industry Marketing Bulletin", Honeywell EDP Wellesley Hills, Aug. 9, 1967
	"Honeywell Communications Configuration Charts And Aids In Designing", Data Communications, pp. 3-1 - 3-7 and A
	"Burroughs Audio Response System", Reference Information for Sales Representatives, pp. 1-6 "New Product Announcement", Burroughs Corporation, Feb. 5, 1968
	"Stand-Alone Lockbox Application Voice Response (Slave) Communication System Functional Specification", Cognitronics Corporation, Feb. 19, 1982, p. 21 "Unlock lockbox reporting. with Cognitronics Voice Response Communications System/Banking.", Speech-maker a division of Cognitronics Corporation "Voice Response for Banking", Cognitronics Corporation (Brochure) "voice response application brief", Speech-maker - (Brochure) "Instant credit authorization is an easy touch when any telephone is a voice response computer terminal", Speech-maker a division of Cognitronics Corporation - (Article)
	Slutsker, Gary, "Relationship marketing", Forbes, April 3, 1989 - (Article)
	Finnigan, P.F., "To Our Shareholders", June 1985, April 7, 1986, April 10, 1987 - (Letters) "International Programs" (Voicemail)
	Finnigan, P.F., "Our guest", Radio-Schweiz AG Telekommunikation und Flugsicherung, Jan. 1983, pp. 12-14 - (Bulletin)
	Finnigan, P.F., "Voice mail", 1983 National Computer Conference, May 16-19, 1983, Anaheim, CA, pp. 375-377 and Abstract
	"Conversations in Your Mailbox", Software News, Jan. 1985 - (Article)
	Fredric, Paul, "Voicemail Int'l, Radio Page America To Offer A 'Pocket News Network'", Communications Week, July 8, 1985 - (Article)
	"Voice-Messaging System: Use It While You're In, Not Out", Information WEEK - (Article)
	"Corporate Performance - Companies To Watch", Fortune, Sept. 30, 1985 - (Article)

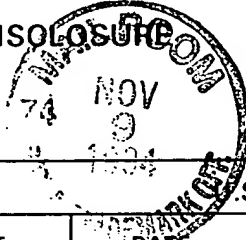
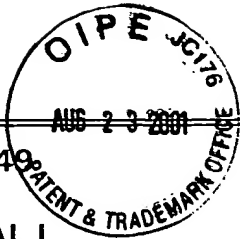
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FORM PTO-1449

SUPPLEMENTAL I

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art Unit

2608

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

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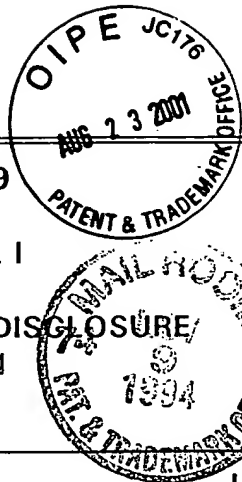
<i>Sm</i>	"Dream Weaver", <u>Jon Lindy</u> , Aug. 1986, pp. 32-35, 37 - (Article)
	"Turn any telephone into a complete electronic message service", <u>Voicemail</u> - (Brochure)
	Pages from Company Brochure, <u>Televoice International, Inc.</u>
	"VMI Big Talker", <u>Voicemail International, Inc.</u> - (Newsletter)
	"Newline", <u>Voicemail International, Inc.</u> , Oct. 1984 and Nov. 1984, "Voiceletter No. 1", <u>Voicemail International, Inc.</u> , Dec. 1985
	"A New, More Productive Way to Use the Telephone", <u>Voicemail International, Inc.</u> - (Brochure) "While You Were Out..." - (Brochure) "For People Who Can't Afford To Miss Messages", <u>Voicemail International, Inc.</u> - (Brochure) "Voicemail The electronic news service saves time, money and nerves", <u>Radio-Suisse Ltd.</u> , (Voicemail Agent for Europe) - (Brochure) "Are You Being Robbed of Your Time ...?", <u>Voicemail International, Inc.</u> - (Brochure)
	"Voicemail Instruction Manual B - 85", <u>Televoice International</u> , Nov. 1980 - (Manual) "Local Telephone Numbers" (for Voicemail) and "Televoice Is As Easy As 1, 2, 3!", <u>Televoice International</u> - (Manual) "Voicemail Instruction Manual C - 25", <u>Televoice International</u> , June 1981 - (Manual) "Telephone Numbers" (for Voicemail) and "How To Use Voicemail", <u>Televoice International</u> - (Manual) "Message Receiving/Sending" (and others), <u>Voicemail International, Inc.</u> - (Manual) "You Can Use Voicemail To Send And Receive Messages At Anytime Anywhere In The World", <u>Voicemail International, Inc.</u> , 1981 - (Brochure) "Advanced User Guide", <u>Voicemail International, Inc.</u> - (Manual) "Voicemail's Basic User's Guide", <u>Voicemail International, Inc.</u> - (Manual)
<i>Sm</i>	"Welcome To Dowphone", <u>Dowphone</u> , Jan. 1986 - (Manual)

EXAMINER

DATE CONSIDERED

9-2-95

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FORM PTO-1449

SUPPLEMENTAL I

INFORMATION DISCLOSURE
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APPLICATION

Docket No. (optional)

6646-114N5

Applicant

Ronald A. Katz

Filing Date

September 14, 1994

Application No.

08/306,456

Group Art 2608

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>C</i>	"Telephone 1-800 Check-PDR", <u>Officers of Medical Economics Company, Inc.</u> , 1986 - (Circulation/Brochure)
	"Turn your telephone into an efficient electronic "mailbox"", <u>Western Union</u> , Jan. 1984, - (Brochure) "Western Union Voice Message Service User's Guide", <u>Western Union</u> , July 1984 - (Brochure)
	"PSA's 24 hour reservation system", <u>PSA</u> , Sept. 1986 - (Brochure)
	"To Better Serve Your Business, We're On Call Days, Nights and Weekends.", <u>Maryland Business Assistance Center</u> - (Brochure)
	"Voice Response: Breaks Trough Call Blockage.", <u>Business Week</u> , Aug. 26, 1985 - (Advertisement for Preception Technology Corporation)
	"Tools for heavy hitters", <u>Forbes</u> , May 6, 1985
	"The Fidelity Automated Service Telephone", <u>Fidelity Group</u> - (Manual/Brochure)
	"Stockquote Hotline", <u>Norwest Brokerage Services</u> - (Brochure) "All You Need To Get The Stock Quotes And News You Want." <u>Dowphone</u> , 1984 - (Advertisement)
<i>Sm</i>	"The Most Respected Name In Telemarketing", <u>West Interactive Corporation</u> - (2 Brochures)


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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Docket No. (optional) 9002-1B672US7 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date September 14, 1994	Application No. 08/306,456 Group Art Unit 2608
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U.S. PATENT DOCUMENTS

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SW	2,902,541	09/01/59	Singleton			
	3,394,246	07/23/68	Goldman			
	3,544,769	12/01/70	Hedin			
	3,617,638	11/02/71	Jochimsen et al.			
	3,696,335	10/03/72	Lemelson			
	3,800,283	03/26/74	Gropper			
	3,881,160	04/29/75	Ross			
	3,889,050	06/10/75	Thompson			
	3,912,874	10/14/75	Botterell et al.			
SW	3,918,174	11/11/75	Miller et al.			

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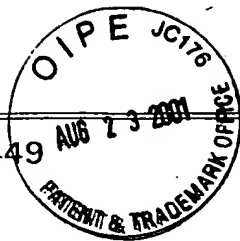
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SW	OS 2929416	02/05/81	Germany			
SW	OS 3726366	02/11/88	Germany			
SW	2184327A	06/17/87	United Kingdom			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

SW	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation
SW	Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation
EXAMINER	DATE CONSIDERED
SW	2-14-97

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FORM PTO-1449

INFORMATION DISCLOSURE
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Docket No. (optional)

9002-1B672US7

(prev. 6646-114N5)

Applicant

Ronald A. Katz

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Group Art Unit

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GROUP 2600

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	4,054,756	10/18/77	Comella et al.			
	4,117,278	09/26/78	Ehrlich et al.			
	4,162,377	07/24/79	Mearns			
	4,191,376	03/04/80	Goldman			
	4,241,942	12/30/80	Bachman			
	4,242,539	12/30/80	Hashimoto			
	4,299,637	11/10/81	Oberdeck et al.			
	4,348,554	09/07/82	Asmuth			
	4,360,827	11/23/82	Braun			
	4,451,087	09/10/85	Comstock			
SW	4,490,583	12/25/84	Bednarz et al.			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE (Publ. Dates)	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SW	0 229 170 A	07/22/87	EPC			
	0 568 114 A	11/03/93	EPC			
	0 620 669 A	10/19/94	EPC			
	500138/88	01/14/88	Japan			
SW	298158/90	12/20/90	Japan			

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SW	C.R. Newson, "Merlin Voice Mail VM600," British Telecommunications Engineering, vol. 4, April 1985, pp. 32-35
SW	A.S. Yatagai, "Telephonic Voice Synthesis Systems," Telecommunications, Aug. 1985, pp. 56h-I, 68
SW	A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50

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(prev. 6646-114N5)

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08/306,456

Group Art Unit

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U.S. PATENT DOCUMENTS

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SW	4,555,594	11/26/85	Friedes et al.			
	4,562,342	12/31/85	Solo			
	4,567,359	01/28/86	Lockwood			
	4,582,956	04/15/86	Doughty			
	4,591,190	03/27/86	Clark			
	4,614,367	09/30/86	Breen			
	4,652,998	03/24/87	Koza			
	4,663,777	05/05/87	Szeto			
	4,671,512	06/09/87	Bachman et al.			
	4,677,553	06/30/87	Roberts et al.			
SW	4,694,490	09/15/87	Harvey et al.			

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SW	41855/91	02/22/91	Japan			
SW	2 230 403 A	10/17/90	United Kingdom			
SW	2,009,937-2	08/21/90	Canada			

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SW	"Shopping via a network is no longer just talk," Data Communications, Aug. 1981 at 43
	"Growth-Oriented Systems," Restaurant Technology, Nation's Restaurant News Newspaper, Jul. 1, 1985 at 51
	"Let your fingers do the tapping... ..and the computer the talking," Modern Office Tech., May 1984 at 80
	"American Software unveils systems for IBM mainframes," Computerworld, Mar. 26, 1984 at 59
SW	"Business Units Get Order Entry," Computerworld, July 12, 1982 at 36

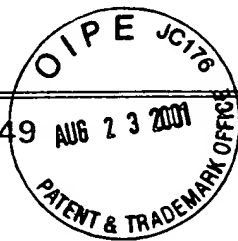
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FORM PTO-1449

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9002-1B672US7

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

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Application No.

08/306,456

Group Art Unit

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U.S. PATENT DOCUMENTS

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SV	4,704,725	11/03/87	Harvey et al.			
	4,716,583	12/29/87	Groner et al.			
	4,764,666	08/16/88	Bergeron			
	4,766,604	08/23/88	Axberg			
	4,774,655	09/27/88	Kollin et al.			
	4,788,718	11/29/88	McNabb et al.			
	4,796,293	01/03/89	Blinken et al.			
	4,797,910	01/10/89	Daudelin			
	4,842,278	06/27/89	Markowicz			
	4,847,890	07/11/89	Solomon et al.			
	4,882,473	11/21/89	Bergeron et al.			
	4,894,857	01/16/90	Szlam et al.			
	4,899,375	02/06/90	Bauer et al.			
SV	4,942,598	07/17/90	Davis			

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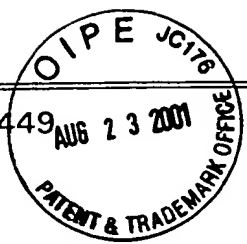
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SV	9002131	08/21/90	France			
SV	DE 4005365 A1	08/23/90	Germany			
SV	WO93/05483	03/18/1993	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
SV	2-14-97

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FORM PTO-1449

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9002-1B672US7

(prev. 6646-114N5)

Applicant

Ronald A. Katz

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08/306,456

Group Art Unit

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U.S. PATENT DOCUMENTS

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	4,965,825	10/23/90	Harvey et al.			
	4,969,185	11/06/90	Dorst et al.			
	5,017,917	05/21/91	Fisher et al.			
	5,018,736	05/28/91	Pearson et al.			
	5,023,904	06/11/91	Kaplan et al.			
	5,046,183	09/03/91	Dorst et al.			
	5,083,272	01/21/92	Walker et al.			
	5,097,528	03/17/92	Gursahaney et al.			
	5,109,414	04/28/92	Harvey et al.			
SW	5,127,003	06/30/92	Doll, Jr. et al.			

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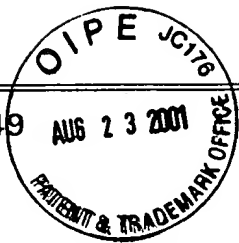
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GROUP 2600

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SW	5,146,491	09/08/92	Silver et al.	RECEIVED AUG 28 2001 Technology Center 2600		
	5,181,238	01/19/93	Medamana et al.			
	5,233,654	08/03/93	Harvey et al.			
	5,255,183	10/19/93	Katz			
	5,263,723	11/23/93	Pearson et al.			
	5,333,185	07/26/94	Burke et al.			
	5,335,277	08/02/94	Harvey et al.			
	5,351,276	09/27/94	Doll Jr., et al.			
SW	5,353,335	10/04/94	D'Urso et al.			

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PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOKKE:
228/046

SERIAL NO.
08/306,456

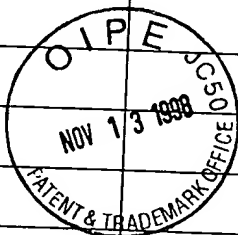
APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

GROUP:
2743

U.S. PATENT DOCUMENTS

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AI						
AJ						
AK						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Y	AL	Basinger, R. G., et al., "Calling Card Service - Overall Description and Operational Characteristics", The Bell System Technical Journal, September, 1982
	AM	Confalone, D. E., et al, "Calling Card Service - TSPS Hardware, Software, and Signaling Implementation", The Bell System Technical Journal, September, 1982
	AN	Eigen, D.J., et al., "Calling Card Service - Human Factors Studies", The Bell Technical Journal, September, 1982
	AO	Lexis Search, 11/1/84, re: System 85 Computer Process
Y	AP	Lexis Search, 01/28/85, re: Rolm Releases Four-Channel Phonemail Voice Message Unit

74.1

MINER: S. Woo

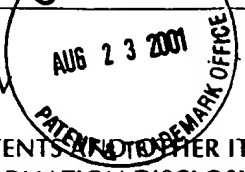
Shto

DATE CONSIDERED:

5-21-00

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Information Disclosure Statement - Section 9 PTO-1449

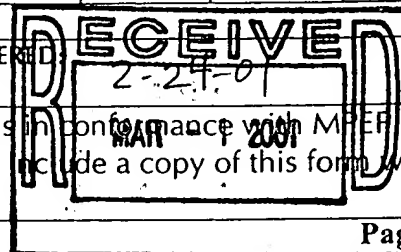
FORM PTO-1449 SUPPLEMENTAL V		ATTY. DC ET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456
		APPLICANT: Ronald A. Katz	
		FILING DATE: September 14, 1994	GROUP: 2643

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
 INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS							
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SW		3,787,632	01/1974	Male et al.	-----	-----	-----
		3,940,569	02/1976	Schonbrun	-----	-----	-----
		3,987,252	10/1976	Vicari	-----	-----	-----
		4,068,099	01/1978	Mikkola	-----	-----	-----
		4,087,638	05/1978	Hayes et al.	-----	-----	-----
		4,090,034	05/1978	Moylan	-----	-----	-----
		4,160,129	07/1979	Peyser et al.	-----	-----	-----
		4,192,972	03/1980	Bertoglio et al.	-----	-----	-----
		4,221,933	09/1980	Cornell et al.	-----	-----	-----
		4,255,619	03/1981	Saito	-----	-----	-----
		4,360,875	11/1982	Behnke	-----	-----	-----
		4,401,856	08/1983	Curtin et al.	-----	-----	-----
		4,428,296	01/1984	Scheuchzer et al.	-----	-----	-----
		4,445,001	04/1984	Bertoglio	-----	-----	-----
		4,517,412	05/1985	Newkirk et al.	-----	-----	-----
		4,518,824	05/1985	Mondardini	-----	-----	-----
		4,549,291	10/1985	Renoulin	-----	-----	-----
		4,585,903	04/1986	Schiller et al.	-----	-----	-----
		4,591,665	05/1986	Foster et al.	-----	-----	-----
		4,600,809	07/1986	Tatsumi et al.	-----	-----	-----
		4,611,096	09/1986	Asmuth et al.	-----	-----	-----
SW		4,625,081	11/25/86	Lotito et al.	-----	-----	-----

EXAMINER: <i>Stella Woo</i>	DATE CONSIDERED: <i>2-24-01</i>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	



FORM PTO-1449

SUPPLEMENTAL V

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
228/046
(prev. 6646-114N5)SERIAL NO.
08/306,456APPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643 8 2001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	4,640,991	02/1987	Matthews et al.	-----	-----	-----
	4,672,660	06/1987	Curtin	-----	-----	-----
	4,685,127	08/1987	Miller et al.	-----	-----	-----
	4,747,124	05/1988	Ladd	-----	-----	-----
	4,759,056	07/1988	Akiyama	-----	-----	-----
	4,761,808	08/1988	Howard	-----	-----	-----
	4,791,666	12/1988	Cobb et al.	-----	-----	-----
	4,797,818	01/1989	Cotter	-----	-----	-----
	4,805,207	02/1989	McNutt et al.	-----	-----	-----
	4,878,240	10/1989	Lin et al.	-----	-----	-----
	4,893,325	01/1990	Pankonen et al.	-----	-----	-----
	4,896,346	1/1990	Belfield et al.	-----	-----	-----
	4,899,373	02/1990	Lee et al.	-----	-----	-----
	4,908,852	03/1990	Hird et al.	-----	-----	-----
	4,922,519	05/1990	Daudelin	-----	-----	-----
	4,933,965	02/1991	Hird	-----	-----	-----
	4,989,234	01/1991	Schakowsky et al.	-----	-----	-----
	5,036,535	07/1991	Gechter et al.	-----	-----	-----
	5,199,062	03/1993	Von Meister et al.	-----	-----	-----
	5,222,120	06/1993	McLeod et al.	-----	-----	-----
	5,299,260	03/1994	Shaio	-----	-----	-----
Sw	5,303,298	04/1994	Morganstein	-----	-----	-----

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2-24-01

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FORM PTO-1449 SUPPLEMENTAL V LIST OF PATENTS AND OTHER DOCUMENTS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456 RECEIVED AUG 28 2001 Technology Center 2600
APPLICANT: Ronald A. Katz		FILING DATE: September 14, 1994
		GROUP: 2643

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
S		5,303,299	04/1994	Hunt et al.	-----	-----	-----
		5,354,069	10/1994	Guttman et al.	-----	-----	-----
		5,418,844	05/1995	Morrissey et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
		0 249 795	12/1987	EPC	-----	-----		No
		2 575 016	07/1986	France	-----	-----		No
		WO 82/02132	06/1982	PCT	-----	-----	yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
		Dial Info Articles (various articles with various dates) (R0016101-R0016188)
		Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424)
		AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596)
		"All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691)
		"New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699)
		Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731)
		Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151)
		Sablé, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987
		"The Stored Program Controlled Network" The Bell System Technical Journal, September 1982
SW		The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book)

EXAMINER: <i>Attelle</i>	DATE CONSIDERED: 2-24-01
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**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

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SERIAL NO.
08/306,456

APPLICANT:
Ronald A. Katz

FILING DATE:
September 14, 1994

GROUP:
2643

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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"ISDN - Proceedings of the conference held in San Francisco, November 1986," OnLine, New York: London
Raack, G.A., et al., "Customer Control of Network Services," IEEE Communications Magazine, October 1984 (A21717089) also ISS 84, Florence Italy, May 1984
Soderberg, J.H., "Machines at your Fingertips," Bell Laboratories Record, July 1969 (A21717175)
Gawrys, G.W. et al., "A New Protocol for Call Handling Functions for the SPC Network," Globecom '82 Conference Record, November/December 1982
Buss, C.M., "Tuning the Human/Machine Interface for AT&T Advanced 800 Service," IEEE, July 1985
Asmuth, R.L., et al., "Transaction Capabilities for Network Services," Globecom '85 IEEE Global Telecommunications Conference, New Orleans, December 1985
Mahood, Gerald K., "Human Factors in TOUCH-TONE Data-Systems," Bell Laboratories Record, December 1971 (A21717170)

"4 ESS System Evolution," Bell System Technical Journal, August 1981

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DATE CONSIDERED:

2-24-01

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FORM PTO-1449

SUPPLEMENTAL VA

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
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September 14, 1994

GROUP

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sn	3,553,378	01/1971	Solomon, et al.	-----	-----	-----
	3,829,628	08/1974	Tripsas	-----	-----	-----
	4,565,903	01/1986	Riley	-----	-----	-----
	4,581,486	04/1986	Matthews, et al.	-----	-----	-----
	4,750,199	06/1988	Norwich	-----	-----	-----
	4,761,807	08/1988	Matthews, et al.	-----	-----	-----
	4,782,519	11/1988	Patel, et al.	-----	-----	-----
	4,785,473	11/1988	Pfeiffer, et al.	-----	-----	-----
	4,799,255	01/1989	Billinger, et al.	-----	-----	-----
	4,856,066	08/1989	Lemelson	-----	-----	-----
	4,932,021	06/1990	Moody	-----	-----	-----
	4,951,307	08/1990	Willard	-----	-----	-----
	4,959,855	09/1990	Daudelin	-----	-----	-----
	5,033,076	07/1991	Jones, et al.	-----	-----	-----
	5,033,088	07/1991	Shipman	-----	-----	-----
	5,054,059	10/1991	Stern, et al.	-----	-----	-----
	5,125,024	06/1992	Gokcen, et al.	-----	-----	-----
	5,148,474	06/1992	Haralambopoulos, et al.	-----	-----	-----
	5,164,981	11/1992	Mitchell, et al.	-----	-----	-----
	5,168,548	12/1992	Kaufman, et al.	-----	-----	-----
	5,179,585	01/1993	MacMillan, Jr., et al.	-----	-----	-----
Sn	5,181,236	01/1993	LaVallee, et al.	-----	-----	-----

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SUPPLEMENTAL VA

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ATTY. DOCKET NO.
228/046
(prev. 6646-114N5)SERIAL NO.
08/306,456

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Ronald A. Katz

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FILING DATE:
September 14, 1994GROUP:
2643

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
SW	5,186,471	02/1993	Vancraeynest	-----	-----	-----
	5,214,689	05/1993	O'Sullivan	-----	-----	-----
	5,236,199	08/1993	Thompson, Jr.	-----	-----	-----
	5,289,531	02/1994	Levine	-----	-----	-----
	5,327,554	07/1994	Palazzi, III, et al.	-----	-----	-----
	5,361,295	11/1994	Solomon, et al.	-----	-----	-----
	5,369,685	11/1994	Kero	-----	-----	-----
	5,416,830	05/1995	MacMillan, Jr., et al.	-----	-----	-----
	5,511,112	04/1996	Szlam	-----	-----	-----
	5,537,143	07/1996	Steingold, et al.	-----	-----	-----
	5,561,710	10/1996	Helms	-----	-----	-----
	5,623,536	04/1997	Solomon, et al.	-----	-----	-----
	5,651,048	07/1997	Leeuw	-----	-----	-----
	5,768,348	06/1998	Solomon, et al.	-----	-----	-----

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
SW	1162484	04/1967	Great Britain	-----	-----	Yes
	WO 89/11768	11/1989	PCT	-----	-----	Yes
	WO 90/10989	09/1990	PCT	-----	-----	Yes
	WO 90/11661	10/1990	PCT	-----	-----	Yes
	WO 91/15818	10/1991	PCT	-----	-----	Yes
SW	WO 92/06548	04/1992	PCT	-----	-----	Yes

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Ronald A. Katz

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FILING DATE:
September 14, 1994

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FOREIGN PATENT DOCUMENTS

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08/306,456PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENTAPPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP:
2643 AUG 28 2001

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Technology Center 2600

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	4,689,742	08/25/87	Troy et al.	-----	-----	-----
	4,710,955	12/01/87	Kauffman	-----	-----	-----
	4,832,341	05/23/89	Muller	-----	-----	-----
	4,835,630	05/1989	Freer	-----	-----	-----
	4,850,007	07/18/89	Marino et al.	-----	-----	-----
	4,916,726	04/10/90	Morley, Jr. et al.	-----	-----	-----
	4,926,462	05/15/90	Ladd et al.	-----	-----	-----
	5,000,486	03/19/91	Rua, Jr. et al.	-----	-----	-----
	5,003,595	03/1991	Collins et al.	-----	-----	-----
	5,128,984	07/07/92	Katz	-----	-----	-----
	5,351,285	09/1994	Katz	-----	-----	-----
	5,403,999	04/04/95	Entenmann et al.	-----	-----	-----
	5,415,416	05/16/95	Scagnelli et al.	-----	-----	-----
	5,475,205	12/12/95	Behm et al.	-----	-----	-----
	5,490,207	02/06/96	Schorr	-----	-----	-----
	5,537,143	07/16/96	Steingold et al.	-----	-----	-----
	5,599,046	02/14/97	Behm et al.	-----	-----	-----
	5,709,603	01/20/98	Kaye	-----	-----	-----
	5,787,156	07/28/98	Katz	-----	-----	-----
Sw	5,790,636	08/1998	Marshall	-----	-----	-----

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DATE CONSIDERED:

2-24-01

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FORM PTO-1449

SUPPLEMENTAL VI

ATTY. DOCKET NO.
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(prev. 6646-114N5)SERIAL NO.
08/306,456

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENTAPPLICANT:
Ronald A. KatzFILING DATE:
September 14, 1994GROUP: 2643
Technology Center 2600

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
Sw	5,815,551	09/1998	Katz	-----	-----	-----
	5,835,576	11/10/98	Katz	-----	-----	-----

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	32 25 562	01/19/84	Germany	-----	-----	No
	2 252 270 B	08/1992	Great Britain	-----	-----	yes
	0 217 308 A2	04/08/87	Europe	-----	-----	yes
	0 917 335 A2	05/19/99	Europe	-----	-----	yes
	246067/1988	10/13/88	Japan	-----	-----	No
	009353/1988	01/16/88	Japan	-----	-----	No
	117436/1989	05/10/89	Japan	-----	-----	No
	54-62708	05/21/79	Japan	-----	-----	No
	52-17739	02/09/77	Japan	-----	-----	No
	59-83270	05/14/84	Japan	-----	-----	No
	62-92654	04/28/87	Japan	-----	-----	No
	62-98848	05/08/87	Japan	-----	-----	No
Sw	63-114442	05/19/88	Japan	-----	-----	No

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DATE CONSIDERED:

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FORM PTO-1449	ATTY. DOCKET NO. 228/046 (prev. 6646-114N5)	SERIAL NO. 08/306,456
SUPPLEMENTAL VI	<div style="text-align: right;">RECEIVED</div>	
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block;"> PTO AUG 23 2001 PATENT & TRADEMARK OFFICE </div>	LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	
	APPLICANT: Ronald A. Katz FILING DATE: September 14, 1994	GROUP: 0115-8-2001 2643 Technology Center 2600

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
<i>Sc</i>		Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings
		A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified.
		A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown.
		An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc.
		A trademark scan (U.S. Federal) indicating a first date of use for Telebroker in June 18; 1988.
		Bulfer, Andrew F., "AT&T's Pay-Per-View Television Trial", published in AT&T Technical Journal, May/June, 1987
		Friedes, A., et al., "ISDN opportunities for large business - 800 service customers," IEEE International Conference on Communications '86, June 22-25, 1986, Vol. 1, pp. 28-32
		Allyn, Mark R. et al., "Planning for people: Human factors in the design of a new service," Bell Laboratories Record, May 1980, pp. 155-161
<i>Sc</i>		Hanson, Bruce L., et al., "No. 1A VSS New custom calling services," Bell Laboratories Record, June 1980, pp. 174-180

EXAMINER: <i>Stella Ubo</i>	DATE CONSIDERED: <i>2-24-01</i>
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643	
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O I P E J C T S
 AUG 23 2001
 PATENT & TRADEMARK OFFICE

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 AUG 28 2001
 Technology Center 2600

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
ST	WO 87/00370	01/15 /1987	PCT (Int. Application)	-----	-----	-----

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Aarons, D., "The Voice of the 80's," PC Magazine, Volume 4, Number 5, March 5, 1985, p. 114 (A21707135)
	"ACD 'Magic' from AT&T's Merlin," Telecommunications Product Review, Volume 13, Number 4, April 1986 (A21708371)
	"Actor Promotes Phone Services," Hammond Louisiana Star, August 23, 1984 (A21708860)
	Allerbeck, M., "Experience with the Voice Mail System EMS 2000 Info - Results of an Acceptance Study," ISS '84 Florence, May 1984, Session 14 A, Paper 6, Page 1
	Allyn, Mark R., et al., "Human Factors in the Design of a New Service," Bell Laboratories Record, Volume 58, Number 8, May 1980 (A21709530)
	Amano, Fumio, et al., "Imagephone!! Integrated Voice/Data Terminal With Hand-Drawing Man-Machine Interface," IEEE, 1985 (A03701430)
	Ambrosio, Johanna, "Electronic and Voice Mail; They're No Match for Each Other-Yet," Computerworld, May 19, 1986, p. 53 (A21708461)
	"American-Network: Files Complaint Against Pacific Northwest Bell," Business Wire, June 4, 1986 (A21708495)
	"American-Network: Signs Letter of Intent to Merge L D Communications Long Distance Service into the Company," Business Wire, August 14, 1984 (A21708856)
	Andrews, Edmund L., "Patents: Computer System Lets TV Audience Join Show," The New York Times, December 24, 1988 (A01331146)
	Arnst, Catherine, Untitled Article, Reuters, September 16, 1984 (A21708908)
SW	"AT&T Announces Major Additions to Telemarketing Products and Services," Telephone News, December 14, 1987 (A21723927)

EXAMINER Stella Woo	DATE CONSIDERED 2-24-01
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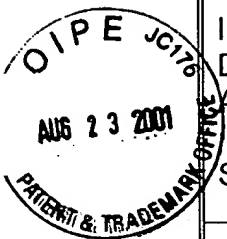
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



FORM PTO-1449		Docket No. (optional) 228/046 (prev. 6646-114N5)		Application No. 08/306,456 Group Art Unit 2643	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Ronald A. Katz		RECEIVED AUG 28 2001 Technology Center 2600	
SUPPLEMENTAL VII		Filing Date 09/14/1994			
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
S	"AT&T; AT&T Announces New Software Enhancements," Business Wire, February 10, 1987 (A21707782)				
	"AT&T Announces New Software Enhancements for its PBX," PR Newswire, February 10, 1987 (A21707779)				
	"AT&T Computer System Will Be Produced Here," The Columbus Dispatch, September 9, 1985 (A01354694)				
	"AT&T CPU Puts Voice Recog on Any Phone," Article Source Unknown (A01354681)				
	"AT&T Conversant Voice Response System," Information Packet, Date Unknown				
	"AT&T's Digital MERLIN," Telecommunications Product Review, Volume 13, Number 7, July 1987 (A21724743)				
	"AT&T's Flagship System 75: A Comprehensive Analysis of the System 85's 'Little Cousin,'" Telecommunications Product Review, Volume 11, Number 7, July 1984 (A21724612)				
	"AT&T Forms Unit to Sell Synthetic Speech Systems," Wall Street Journal, September 10, 1985 (A01354689)				
	"AT&T Plans Computer Unit," The New York Times, September 10, 1985 (A01354690)				
	"AT&T; Showtime's Viewer's Choice, Viacom Cable and AT&T to Test Pay-Per-View Ordering System," Business Wire, December 5, 1985 (A21708103)				
	"AT&T Sports Service," PR Newswire, September 24, 1980 (A21710432)				
	"The AT&T System 25," Telecommunications Product Review, Volume 13, Number 8, August 1986 (A21706368)				
SW	Press Release, PR Newswire, November 1, 1984 (A21708963)				
EXAMINER Stella Woo			DATE CONSIDERED 2-24-01		
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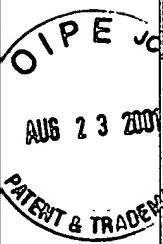
FORM PTO-1449		Docket No. (optional) 228/046 (prev. 6646-114N5)		Application No. 08/306,456	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant Ronald A. Katz		Group Art Unit 2643	
SUPPLEMENTAL VII		Filing Date 09/14/1994			
RECEIVED AUG 28 2001 Technology Center 2600					
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
FOREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
✓	AT&T Technical Journal – The SESS Switching System, Volume 64, Number 6, Part 2, July-August 1985 (A21723626)				
	Excerpt from AT&T Technical Journal, September-October 1990, pp. 53-60 (A21723942)				
	"Automated Switchboard Attendant Helps Insurance Company Control Net Expenses," Communications News, July 1985 (A21726011)				
	Aversano, Nina, "The Telephone as Computer," Review of Business, Fall 1989, p.5 (A21723928)				
	Ayres, Paul, "Voice Response Pay-By-Phone Matures," Computerworld, November 9, 1979, p. 47 (A21725960)				
	Baker, Janet M., "Voice-Store-And-Forward: The Voice Message Medium," Speech Technology, August-September 1984 (A21724633)				
	Bakke, Bruce B., "Electronic Voice Mailbox: Potential for Fast Growth," BC Cycle, June 29, 1984 (A21708785)				
	Bakke, Bruce B., "GTE's 'Voice Mailboxes' Page the World," U.P.I., April 11, 1983 (A21713359)				
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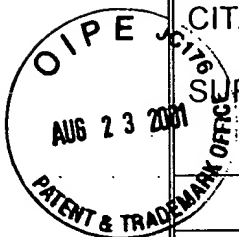
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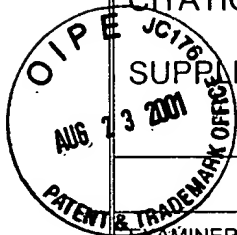
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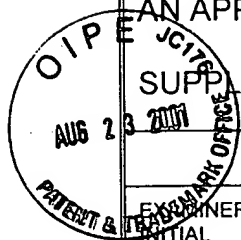
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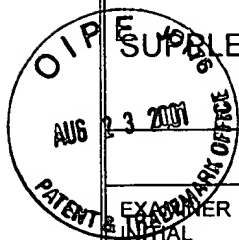
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643		
SUPPLEMENTAL VII <div style="position: absolute; left: -50px; top: 50px; border: 1px solid black; border-radius: 50%; padding: 5px; text-align: center;"> O P E N AUG 23 2001 PATENT & TRADEMARK OFFICE </div>		U.S. PATENT DOCUMENTS				
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643 <div style="text-align: center; font-weight: bold; transform: rotate(-15deg);"> RECEIVED AUG 28 2001 Technology Center 2600 </div>		
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION PRELIMINARY APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643 <div style="text-align: center; font-size: 1.2em;"> RECEIVED AUG 28 2001 Technology Center 2600 </div>		
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION MEAN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643 <div style="text-align: center; font-size: 1.2em; font-weight: bold;">RECEIVED</div> <div style="text-align: center;">AUG 28 2001</div> <div style="text-align: center;">Technology Center 2600</div>	
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

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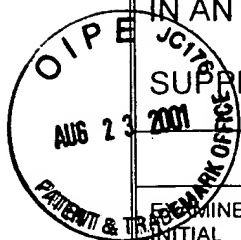
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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT FOR AN APPLICATION SUPPLEMENTAL VII		Docket No. (optional) 228/046 (prev. 6646-114N5) Applicant Ronald A. Katz Filing Date 09/14/1994		Application No. 08/306,456 Group Art Unit 2643		
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INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant Ronald A. Katz	Group Art Unit 2643
SUPPLEMENTAL VII	Filing Date 09/14/1994	

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FORM PTO-1449

Docket No. (optional)

228/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

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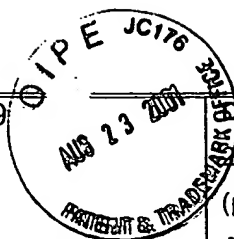
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INFORMATION
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SUPPLEMENTAL VII



Pocket No. (optional)

28/046

(prev. 6646-114N5)

Applicant

Ronald A. Katz

Filing Date

09/14/1994

Application No.

08/306,456

Group Art Unit

2643

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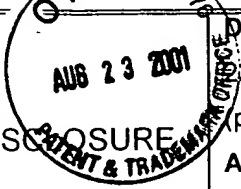
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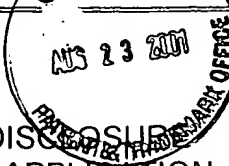
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